

# Computing noncommutative deformations

Eivind Eriksen

**Abstract** Let  $M$  be a right module over an associative  $k$ -algebra  $A$ , where  $k$  is a field. We show how to compute noncommutative deformations of  $M$  in concrete terms, using an obstruction calculus based on free resolutions.

## 1 Introduction

Let  $A$  be an associative  $k$ -algebra, where  $k$  is a field. For any right  $A$ -module  $M$ , there is a noncommutative deformation functor  $\text{Def}_M : \mathfrak{a}_1 \rightarrow \text{Sets}$ , introduced in Laudal [2], defined on the category  $\mathfrak{a}_1$  of local Artinian  $k$ -algebras with residue field  $k$ . The noncommutative deformation functor extends the classical deformation functor  $\text{Def}_M^{\text{cl}} : \mathbf{I} \rightarrow \text{Sets}$ , defined on the category  $\mathbf{I}$  of local commutative Artinian  $k$ -algebras with residue field  $k$ .

In this paper, we show how to compute noncommutative deformations of  $M$  in concrete terms, using an obstruction calculus based on free resolutions. We show the computations explicitly in the example with  $A = k[x, y]$  and  $M = A/(x^2, y)$ , which is obstructed. We also compare the result with the classical deformations of  $M$ .

## 2 Noncommutative deformations of modules

Let  $M$  be a right module over an associative  $k$ -algebra  $A$ , where  $k$  is a field. Then there is a classical deformation functor  $\text{Def}_M^{\text{cl}} : \mathbf{I} \rightarrow \text{Sets}$ , where  $\mathbf{I}$  is the category of commutative Artinian local  $k$ -algebras with residue field  $k$ . We fix a free resolution  $(L_\bullet, d_\bullet)$  of  $M$ . For any algebra  $R$  in  $\mathbf{I}$ , a lifting of complexes from  $(L_\bullet, d_\bullet)$  to  $R$  is

---

Eivind Eriksen, BI Norwegian Business School  
Department of Economics, N-0442 Oslo, Norway; e-mail: eivind.eriksen@bi.no

a complex  $(L_\bullet^R, d_\bullet^R)$  of  $R$ - $A$  bimodules, with  $L_m^R = R \otimes_k L_m$ , such that the following diagram commutes:

$$\begin{array}{ccccccc} L_0^R & \xleftarrow{d_0^R} & L_1^R & \xleftarrow{d_1^R} & L_2^R & \xleftarrow{d_2^R} & \dots \\ \downarrow & & \downarrow & & \downarrow & & \\ L_0 & \xleftarrow{d_0} & L_1 & \xleftarrow{d_1} & L_2 & \xleftarrow{d_2} & \dots \end{array}$$

It is well-known that  $\text{Def}_M^{\text{cl}}(R)$  can be identified with the set of equivalence classes of liftings of  $(L_\bullet, d_\bullet)$  to  $R$ , and that  $\text{Def}_M^{\text{cl}}$  has tangent space  $\mathfrak{t}(\text{Def}_M^{\text{cl}}) \cong \text{Ext}_A^1(M, M)$  and an obstruction theory with cohomology  $\{\text{Ext}_A^p(M, M)\}$ . When  $d = \dim_k \text{Ext}_A^1(M, M)$  and  $r = \dim_k \text{Ext}_A^2(M, M)$  are finite, there is an obstruction morphism

$$o^{\text{cl}} : k[[s_1, s_2, \dots, s_r]] \rightarrow k[[t_1, t_2, \dots, t_d]]$$

such that  $H^{\text{cl}} = k[[t_1, \dots, t_d]] / (f_1^{\text{cl}}, \dots, f_r^{\text{cl}})$  is a pro-representing hull of  $\text{Def}_M^{\text{cl}}$ , with  $f_i^{\text{cl}} = o^{\text{cl}}(s_i)$  for  $1 \leq i \leq r$ . Its versal family is given by a lifting of complexes of  $(L_\bullet, d_\bullet)$  to  $H^{\text{cl}}$ .

There is an extension of the classical deformation functor  $\text{Def}_M^{\text{cl}}$  of  $M$  to a non-commutative deformation functor  $\text{Def}_M : \mathfrak{a}_1 \rightarrow \text{Sets}$ , where  $\mathfrak{a}_1$  is the category of local Artinian  $k$ -algebras with residue field  $k$ . This extension is due to Laudal [2]; see also Eriksen [1] for details. We remark that  $\text{Def}_M(R)$  can be identified with the set of equivalence classes of liftings of  $(L_\bullet, d_\bullet)$  to  $R$ . When  $d = \dim_k \text{Ext}_A^1(M, M)$  and  $r = \dim_k \text{Ext}_A^2(M, M)$  are finite, there is an obstruction morphism

$$o : k\langle\langle s_1, s_2, \dots, s_r \rangle\rangle \rightarrow k\langle\langle t_1, t_2, \dots, t_d \rangle\rangle$$

such that  $H = k\langle\langle t_1, t_2, \dots, t_d \rangle\rangle / (f_1, \dots, f_r)$  is a pro-representing hull of  $\text{Def}_M$ , with  $f_i = o(s_i)$  for  $1 \leq i \leq r$ . Its versal family is given by a lifting of complexes of  $(L_\bullet, d_\bullet)$  to  $H$ .

The relationship between classical and noncommutative deformations are given by the following commutative diagram

$$\begin{array}{ccc} k\langle\langle s_1, s_2, \dots, s_r \rangle\rangle & \xrightarrow{o} & k\langle\langle t_1, t_2, \dots, t_d \rangle\rangle \\ \downarrow & & \downarrow \\ k[[s_1, s_2, \dots, s_r]] & \xrightarrow{o^{\text{cl}}} & k[[t_1, t_2, \dots, t_d]] \end{array}$$

where the vertical maps are the natural commutativization homomorphisms given by  $A \rightarrow A^{\text{cl}} = A / (xy - yx : x, y \in A)$ . In particular,  $f_i^{\text{cl}}$  is the image of  $f_i$  in  $k[[t_1, \dots, t_d]]$  for  $1 \leq i \leq r$ .

### 3 A concrete description of lifting of complexes

Let  $R$  be an algebra in  $\mathfrak{a}_1$ , and choose a  $k$ -linear base  $\{r_i : 0 \leq i \leq l\}$  of  $R$  with  $r_0 = 1$ . Then a lifting of complexes of  $(L_\bullet, d_\bullet)$  to  $R$  is given by  $R$ - $A$  linear maps  $d_m^R : L_{m+1}^R \rightarrow L_m^R$  for  $m \geq 0$ , and  $d_m^R$  is determined by its value on elements of the form  $1 \otimes f$  in  $L_{m+1}^R = R \otimes_k L_{m+1}$ . Therefore the differential  $d_m^R$  can be considered as an element in  $\text{Hom}_A(L_{m+1}, R \otimes_k L_m) \cong R \otimes_k \text{Hom}_A(L_{m+1}, L_m)$ , described in concrete terms as

$$d_m^R = 1 \otimes d_m + \sum_{i=1}^l r_i \otimes \alpha(r_i)_m$$

where  $\underline{\alpha} = \{\alpha(r_i)_m : m \geq 0, 0 \leq i \leq l\}$  is a family of  $A$ -linear homomorphisms  $\alpha(r_i)_m : L_{m+1} \rightarrow L_m$  with  $\alpha(1)_m = d_m$ . Conversely, such a family  $\underline{\alpha}$  of  $A$ -linear homomorphisms represents a lifting of complexes of  $(L_\bullet, d_\bullet)$  to  $R$  if and only if  $d_m^R \circ d_{m+1}^R = 0$  for all  $m \geq 0$ . This condition can be expressed in terms of  $\underline{\alpha}$  as

$$\sum_{1 \leq i \leq l} r_i \otimes (\alpha(r_i)_m d_{m+1} + d_m \alpha(r_i)_{m+1}) + \sum_{1 \leq i, j \leq l} r_j r_i \otimes \alpha(r_i)_m \alpha(r_j)_{m+1} = 0$$

Notice that on the tangent level, where  $r_j r_i = 0$  for  $1 \leq i, j \leq l$ ,  $\underline{\alpha}$  determines a lifting of complexes to  $R$  if and only if  $\alpha(r_i)$  is a 1-cocycle in the Yoneda complex  $\text{YC}^\bullet(L_\bullet, L_\bullet)$ . We recall that the Yoneda complex  $\text{YC}^\bullet(L_\bullet, L_\bullet)$  is defined by

$$\text{YC}^n(L_\bullet, L_\bullet) = \prod_{m \geq 0} \text{Hom}_A(L_{m+n}, L_m)$$

for all  $n \geq 0$ , and with differential  $d^n : \text{YC}^n(L_\bullet, L_\bullet) \rightarrow \text{YC}^{n+1}(L_\bullet, L_\bullet)$  given by

$$d^n(\phi)_m = \phi_m d_{n+m} + (-1)^{n+1} d_m \phi_{m+1} \quad \text{for } m \geq 0$$

for all  $\phi = (\phi_m)_{m \geq 0} \in \text{YC}^n(L_\bullet, L_\bullet)$ . It is well-known that the cohomology of the Yoneda complex is  $\text{YH}^p(M, M) = \text{H}^p(\text{YC}^\bullet(L_\bullet, L_\bullet)) \cong \text{Ext}_A^p(M, M)$ .

### 4 Computing noncommutative deformations in an example

Let  $A = k[x, y]$ , and let  $M$  be the right  $A$ -module  $M = A/(x^2, y)$  with free resolution  $(L_\bullet, d_\bullet)$  given by

$$0 \leftarrow M \leftarrow A \xleftarrow{\begin{pmatrix} x^2 & y \end{pmatrix}} A^2 \xleftarrow{\begin{pmatrix} y \\ -x^2 \end{pmatrix}} A \leftarrow 0$$

To compute  $\text{Ext}_A^p(M, M)$  for  $p = 1$  (the tangent space) and  $p = 2$  (the obstruction space), we consider the complex  $\text{Hom}_A(L_\bullet, M)$ :

$$M \xrightarrow{\begin{pmatrix} x^2 & y \end{pmatrix}} M^2 \xrightarrow{\begin{pmatrix} y \\ -x^2 \end{pmatrix}} M \rightarrow 0$$

Note that the differentials in this complex are zero. Since  $M = k[x, y]/(x^2, y) \simeq k + kx$  has dimension two, we see that

$$\mathrm{Ext}_A^p(M, M) = \begin{cases} (k + kx)^2 \cong k^4, & p = 1 \\ k + kx \cong k^2, & p = 2 \end{cases}$$

Hence there are noncommutative power series  $f_1, f_2 \in k\langle\langle t_1, t_2, t_3, t_4 \rangle\rangle$  determined by the obstruction morphism such that  $H = k\langle\langle t_1, t_2, t_3, t_4 \rangle\rangle/(f_1, f_2)$  is a pro-representing hull of the noncommutative deformation functor  $\mathrm{Def}_M$ . We shall compute  $f_1$  and  $f_2$  in concrete terms.

At the tangent level,  $H_2 = k\langle\langle t_1, t_2, t_3, t_4 \rangle\rangle/(t_1, t_2, t_3, t_4)^2$ , and the versal family  $\xi_2 \in \mathrm{Def}_M(H_2)$  is given by a lifting of complexes of  $(L_\bullet, d_\bullet)$  to  $H_2$ . In concrete terms, the differential in  $H_2 \otimes_k \mathrm{Hom}_A(L_{m+1}, L_m)$  is given by

$$d_m^{H_2} = 1 \otimes d_m + \sum_{1 \leq i \leq 4} t_i \otimes \alpha(t_i)_m$$

for all  $m \geq 0$ . We let  $t_1^* = (1, 0)$ ,  $t_2^* = (x, 0)$ ,  $t_3^* = (0, 1)$ ,  $t_4^* = (0, x)$  such that  $\{t_1^*, t_2^*, t_3^*, t_4^*\}$  is a  $k$ -linear base for  $\mathfrak{t}(\mathrm{Def}_M)$ , and let  $\alpha(t_i)$  be a 1-cocycle in the Yoneda complex  $\mathrm{YC}^\bullet(L_\bullet, L_\bullet)$  that represents  $t_i^* \in \mathrm{YH}^1(M, M) \cong \mathrm{Ext}_A^1(M, M)$ . Note that a 1-cocycle  $\phi \in \mathrm{YC}^1(L_\bullet, L_\bullet)$  is a pair  $(\phi_0, \phi_1)$  of  $A$ -linear maps  $\phi_i : L_{i+1} \rightarrow L_i$  such that  $d_0\phi_1 + \phi_0d_1 = 0$  since  $L_i = 0$  for  $i > 2$ . We may therefore choose

$$\begin{aligned} \alpha(t_1) &= \left\{ (1 \ 0) \cdot, \begin{pmatrix} 0 \\ -1 \end{pmatrix} \cdot \right\} & \alpha(t_3) &= \left\{ (0 \ 1) \cdot, \begin{pmatrix} 1 \\ 0 \end{pmatrix} \cdot \right\} \\ \alpha(t_2) &= \left\{ (x \ 0) \cdot, \begin{pmatrix} 0 \\ -x \end{pmatrix} \cdot \right\} & \alpha(t_4) &= \left\{ (0 \ x) \cdot, \begin{pmatrix} x \\ 0 \end{pmatrix} \cdot \right\} \end{aligned}$$

Then the differential  $d^{H_2} = (d_0^{H_2}, d_1^{H_2})$  is explicitly given by

$$\begin{aligned} d_0^{H_2} &= d_0 + \sum_{1 \leq i \leq 4} t_i \alpha(t_i)_0 = \begin{pmatrix} x^2 + t_1 + t_2x & y + t_3 + t_4x \end{pmatrix} \cdot \\ d_1^{H_2} &= d_1 + \sum_{1 \leq i \leq 4} t_i \alpha(t_i)_1 = \begin{pmatrix} y + t_3 + t_4x \\ -x^2 - t_1 - t_2x \end{pmatrix} \cdot \end{aligned}$$

By construction,  $d_0^{H_2} \circ d_1^{H_2} = 0$  in  $H_2 \otimes_k \mathrm{Hom}_A(L_2, L_0)$  and we may check that this is the case:

$$\begin{aligned} d_0^{H_2} \circ d_1^{H_2} &= \begin{pmatrix} x^2 + t_1 + t_2x & y + t_3 + t_4x \end{pmatrix} \cdot \begin{pmatrix} y + t_3 + t_4x \\ -x^2 - t_1 - t_2x \end{pmatrix} \\ &= (x^2 + t_1 + t_2x)(y + t_3 + t_4x) + (y + t_3 + t_4x)(-x^2 - t_1 - t_2x) \\ &= [t_3, t_1] + [t_4, t_1]x + [t_3, t_2]x + [t_4, t_2]x^2 \end{aligned}$$

Since  $(t_1, \dots, t_4)^2 = 0$  in  $H_2$ , this obstruction vanishes. The obstruction space  $\mathrm{Ext}_A^2(M, M) \cong \mathrm{YH}^2(M, M) = k + kx$  has a  $k$ -linear base  $\{s_1^* = 1, s_2^* = x\}$ , and we

can write the obstruction as

$$[t_3, t_1]s_1^* + ([t_4, t_1] + [t_3, t_2])s_2^* + [t_4, t_2]x^2s_1^*$$

Since  $s_1^*, s_2^* \neq 0$  while  $x^2s_1^* = 0$  in  $\text{YH}^2(M, M)$ , it follows that  $H_3 = k\langle\langle t_1, t_2 \rangle\rangle/a_3$ , where  $a_3 = (f_1^2, f_2^2) + (t_1, t_2)^3$  and  $f_1^2 = [t_3, t_1]$ ,  $f_2^2 = [t_4, t_1] + [t_3, t_2]$  are the second order approximations of  $f_1$  and  $f_2$ . To lift  $\xi_2$  to  $H_3$ , we choose  $\alpha(t_4t_2)$  and  $\alpha(t_2t_4)$  such that  $d^1\alpha(t_4t_2) = -x^2s_1^*$  and  $d^1\alpha(t_2t_4) = x^2s_1^*$ , and find that

$$\begin{aligned} d^1\left(\left\{(0 \ 1) \cdot, \begin{pmatrix} 0 \\ 0 \end{pmatrix} \cdot\right\}\right) = -x^2s_1^* &\Rightarrow \alpha(t_4t_2) = \left\{(0 \ 1) \cdot, \begin{pmatrix} 0 \\ 0 \end{pmatrix} \cdot\right\} \\ &\Rightarrow \alpha(t_2t_4) = \left\{(0 \ -1) \cdot, \begin{pmatrix} 0 \\ 0 \end{pmatrix} \cdot\right\} \end{aligned}$$

Explicitly, the lifting  $\xi_3$  is represented by the differential  $d^{H_3}$ , given by

$$\begin{aligned} d_0^{H_3} &= (x^2 + t_1 + t_2x \quad y + t_3 + t_4x + [t_4, t_2]) \cdot \\ d_1^{H_3} &= \begin{pmatrix} y + t_3 + t_4x \\ -x^2 - t_1 - t_2x \end{pmatrix}. \end{aligned}$$

Again, we compute the obstruction given by  $d_0^{H_3}d_1^{H_3}$ , and find that

$$\begin{aligned} d_0^{H_3} \circ d_1^{H_3} &= (x^2 + t_1 + t_2x \quad y + t_3 + t_4x + [t_4, t_2]) \cdot \begin{pmatrix} y + t_3 + t_4x \\ -x^2 - t_1 - t_2x \end{pmatrix} \\ &= [t_3, t_1] + ([t_4, t_1] + [t_3, t_2])x - t_1[t_4, t_2] - t_2[t_4, t_2]x \\ &= ([t_3, t_1] - t_1[t_4, t_2])s_1^* + ([t_4, t_1] + [t_3, t_2] - t_2[t_4, t_2])s_2^* \end{aligned}$$

This implies that  $H_4 = k\langle\langle t_1, t_2, t_3, t_4 \rangle\rangle/a_4$ , where  $a_4 = (f_1^3, f_2^3) + (t_1, t_2, t_3, t_4)^4$  and  $f_1^3 = [t_3, t_1] - t_1[t_4, t_2]$ ,  $f_2^3 = [t_4, t_1] + [t_3, t_2] - t_2[t_4, t_2]$  are the third order approximations of  $f_1$  and  $f_2$ . We see that  $\xi_3$  can be lifted to

$$H = k\langle\langle t_1, t_2, t_3, t_4 \rangle\rangle/([t_3, t_1] - t_1[t_4, t_2], [t_4, t_1] + [t_3, t_2] - t_2[t_4, t_2])$$

and this implies that  $H$  is the pro-representing hull of the noncommutative deformation functor  $\text{Def}_M$  (with  $f_1 = [t_3, t_1] - t_1[t_4, t_2]$  and  $f_2 = [t_4, t_1] + [t_3, t_2] - t_2[t_4, t_2]$ ), and that  $\text{Def}_M$  is obstructed. The versal family  $\xi \in \text{Def}_M(H)$  is given by a lifting of complexes of  $(L_\bullet, d_\bullet)$  to  $H$ . In concrete terms, the differential  $d^H = (d_m^H)$  is given by

$$d_0^H = (x^2 + t_1 + t_2x \quad y + t_3 + t_4x + [t_4, t_2]) \cdot \quad \text{and} \quad d_1^H = \begin{pmatrix} y + t_3 + t_4x \\ -x^2 - t_1 - t_2x \end{pmatrix}.$$

and the versal family  $\xi \in \text{Def}_M(H)$  is the  $H$ - $A$  bimodule  $M_H = \text{coker}(d_0^H)$ .

## 5 Comparison with classical deformations

From the computations above, it follows that the classical deformation functor  $\text{Def}_M^{\text{cl}}$  has a pro-representing hull  $H^{\text{cl}} = k[[t_1, \dots, t_4]]$  since  $f_1^{\text{cl}} = f_2^{\text{cl}} = 0$ , and that  $\text{Def}_M^{\text{cl}}$  is unobstructed. Its versal family is given by the differential

$$d_0^H = (x^2 + t_1 + t_2x \quad y + t_3 + t_4x) \cdot \quad \text{and} \quad d_1^H = \begin{pmatrix} y + t_3 + t_4x \\ -x^2 - t_1 - t_2x \end{pmatrix}.$$

since  $[t_4, t_2] = 0$  in  $H^{\text{cl}}$ . Hence the versal family is the  $H^{\text{cl}}$ - $A$  bimodule  $M_{H^{\text{cl}}}$  given by

$$M_{H^{\text{cl}}} = k[[t_1, \dots, t_4]][x, y] / (x^2 + t_1 + t_2x, y + t_3 + t_4x)$$

We see that there is an algebraization of  $H^{\text{cl}}$  and its versal family, given by the algebra  $\mathfrak{H}^{\text{cl}} = k[t_1, t_2, t_3, t_4]$  and the versal family

$$M_{\mathfrak{H}^{\text{cl}}} = k[t_1, \dots, t_4][x, y] / (x^2 + t_1 + t_2x, y + t_3 + t_4x)$$

The corresponding family of classical deformations of  $M$ , parameterized by the closed points of  $\text{Spec } \mathfrak{H}^{\text{cl}} = \mathbb{A}^4$ , is  $\{M_{\mathfrak{H}^{\text{cl}}}(\tau) : \tau = (\tau_1, \dots, \tau_4) \in \mathbb{A}^4\}$  with

$$M_{\mathfrak{H}^{\text{cl}}}(\tau) \cong k[x, y] / (x^2 + \tau_1 + \tau_2x, y + \tau_3 + \tau_4x)$$

This is a family of right  $A$ -modules of length 2.

## References

1. Eivind Eriksen, *An introduction to noncommutative deformations of modules*, Noncommutative algebra and geometry, Lect. Notes Pure Appl. Math., vol. 243, Chapman & Hall/CRC, Boca Raton, FL, 2006, pp. 90–125. MR MR2189988 (2006m:16037)
2. O. A. Laudal, *Noncommutative deformations of modules*, Homology Homotopy Appl. **4** (2002), no. 2, part 2, 357–396 (electronic), The Roos Festschrift volume, 2. MR MR1918517 (2003e:16005)